

## 6. Number-Theoretic Reference Problems

Consider  $\mathbb{Z}_n$ : ring of equivalence classes modulo  $n$  within integers.

$$s, t \in \mathbb{Z} : s \sim t \text{ or } s \equiv t \pmod{n}$$

$$\Leftrightarrow n \mid (s-t)$$

( $\sim$  forms an equivalence relation over  $\mathbb{Z}$ )

$(\mathbb{Z}_n, +, \cdot)$  forms a ring

$(\mathbb{Z}_n, +)$  Abelian group

$(\mathbb{Z}_n, \cdot)$  associative, 1 exists

& distributive law

Def. 6.1  $\mathbb{Z}_n^* = \{a \in \mathbb{Z}_n \mid \gcd(a, n) = 1\}$

is called the multiplicative group of  $\mathbb{Z}_n$ .

$\varphi(n) = \underbrace{|\mathbb{Z}_n^*|}$  is called the Euler- $\varphi$ -function.

(order / cardinality of  $\mathbb{Z}_n^*$ )

Remarks: •  $\varphi(p) = p-1$  , if  $p$  prime.

•  $\mathbb{Z}_n^*$  is a multiplicative Abelian group. It holds  $\gcd(a, n) = 1 \Leftrightarrow \exists$  inverse ~~of~~  $s$  of  $a$ , i.e.,  $a \cdot s \equiv s \cdot a \equiv 1 \pmod{n}$ .

• Notation  $\gcd(a, n) = (a, n)$ . If  $(a, n) = 1$ ,  $a$  and  $n$  are called relatively prime or coprime.

Th. 6.2. (Euler, Fermat)

If  $a \in \mathbb{Z}_n^*$ , then  $a^{\varphi(n)} \equiv 1 \pmod{n}$

In particular (Fermat's little theorem)

If  $p$  prime,  $(a, p) = 1$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .  $\perp$

6.1. Probabilistic Primality Testing

Given  $n \in \mathbb{N}$  (call  $n$  composite, if  $n$  is not prime)

Question: Is  $n$  composite?

FPT - Fermat Primality Test

Select randomly some  $a \in \{2, \dots, n-1\}$ .  
 $a^{n-1} \not\equiv 1 \pmod{n} \Rightarrow n$  composite  
 Otherwise declare ' $n$  prime'

It holds that

$n$  composite,  $a \notin \mathbb{Z}_n^* \Rightarrow a^{n-1} \not\equiv 1 \pmod{n}$

Proof. Suppose  $a^{n-1} \equiv 1 \pmod{n}$

$\Rightarrow a^{-1}$  exists, namely  $a^{-1} = a^{n-2} \pmod{n}$

$\Rightarrow \gcd(a, n) = 1 \Rightarrow a \in \mathbb{Z}_n^*$ .  $\square$

The least favorable case is:

$n$  composite and  $a^{n-1} \equiv 1 \pmod{n} \forall a \in \mathbb{Z}_n^*$

Such numbers are called Carmichael numbers

The first ones are

561, 1105, 1729, 2465, ..., 172081, 228545, ...

Proposition 6.3. Let  $n$  be composite (odd),

no Carmichael no. Then

$$|\{a \in \mathbb{Z}_n \setminus \{0\} \mid a^{n-1} \not\equiv 1 \pmod{n}\}| \geq \binom{n}{2} \quad \square$$

Hence, for alg. FPT, provided  $n$  is no Carmichael no.:

$$P(\text{FPT states "n composite"} \mid n \text{ composite}) \geq \frac{1}{2} \quad \text{or equ.}$$

$$P(\text{FPT states "n prime"} \mid n \text{ composite}) \leq \frac{1}{2}.$$

Moreover

$$P(\text{FPT states "n prime"} \mid n \text{ prime}) = 1.$$

Advantage: Very simple, fast

error prob.  $\leq \frac{1}{2^M}$ , if it is independently

repeated  $M$  times, provided  $n$  is no Carm. no.

- Aim: 1.  $n$  prime  $\Rightarrow$  alg. declares ' $n$  prime' with prob. 1  
 2.  $n$  composite  $\Rightarrow$  alg. declares ' $n$  comp.' with prob.  $\geq \frac{3}{4}$ .

Def. 6.4. Let  $n = 1 + q \cdot 2^k$ ,  $q$  odd.

Let  $a \in \mathbb{N}$ ,  $2 \leq a \leq n-1$ .

$a$  is called a strong witness (to compositeness), if

(i)  $a^q \not\equiv 1 \pmod{n}$

(ii)  $a^{q \cdot 2^i} \not\equiv -1 \pmod{n}$ ,  $i = 0, 1, \dots, k-1$

( $\Leftrightarrow a^{q \cdot 2^i} \not\equiv n-1 \pmod{n}$ )

Abbr.  $a \in W(n)$ .  $\perp$

Prop. 6.5.  $\exists a \in W(n) \Rightarrow n$  is composite.

Proof. Suppose  $a \in W(n)$  and  $n$  prime. By Fermat

$$a^{n-1} \equiv a^{q \cdot 2^k} \equiv 1 \pmod{n}$$

Consider successive squares

$$a^q, a^{q \cdot 2}, a^{q \cdot 2^2}, a^{q \cdot 2^3}, \dots, a^{q \cdot 2^k} \equiv 1 \pmod{n}$$

$\not\equiv 1 \pmod{n}$   $\equiv 1 \pmod{n}$

Let  $j = \max \{ 0 \leq i \leq k-1 \mid a^{q \cdot 2^i} \not\equiv 1 \pmod{n}, a^{q \cdot 2^{i+1}} \equiv 1 \pmod{n} \}$

$b = a^{q \cdot 2^j}$ , such that  $b \not\equiv 1 \pmod{n}$  and  $b^2 \equiv 1 \pmod{n}$

$n$  prime,  $\mathbb{Z}_n$  is a field  $\Rightarrow b \equiv 1$  or  $b \equiv -1 \pmod{n}$

Hence:  $b \equiv -1 \pmod{n}$ . Contradiction to (ii).  $\square$

There are only a few  $a \in \{2, \dots, n-1\}$  with  $a \notin W(n)$ .

Theorem 6.6. (Rabin, 1980)

For any odd, composite  $n \in \mathbb{N}$  it holds that

$$|\{a \mid 2 \leq a \leq n-1, a \notin W(n)\}| \leq \frac{n}{4}.$$

[Proof. Rabin (1980), N. Koblitz]

Hence, choosing  $a \in \{2, \dots, n-1\}$  at random with  $a \notin W(n)$  has prob.  $\leq \frac{1}{4}$ .

MRPT - Miller-Rabin Primality Test

Write  $n = 1 + q \cdot 2^k$ ,  $q$  odd

Choose  $a \in \{2, \dots, n-1\}$  at random ( $a \sim U(\{2, \dots, n-1\})$ )

$y := a^q \pmod n$

if  $y = 1$  then (return "n prime"; stop)

~~for~~  $i := 1$  to  $k$  do begin

if  $y = n-1$  then (return "n prime"; stop)

$y = (y * y) \pmod n$

end;

return "n ~~prime~~ composite"

Apply MRPT  $M$  times independently.

$$P(\text{decide "n prime" | n composite}) \leq \frac{1}{4^{14}}$$

$$P(\text{decide "n prime" | n prime}) = 1$$

Exponentially decreasing error bound:

$$\frac{1}{4^{10}} = 0.95 \cdot 10^{-6}, \quad \frac{1}{4^{20}} = 0.91 \cdot 10^{-12}$$

Remark:

Since 2002 there is a polynomial time deterministic primality test.

M. Agrawal, N. Kayal, N. Saxena; PRIMES is in P.

How to find large primes?

Choose  $n \in \mathbb{N}$  (largest <sup>odd</sup>?) Iterate  $n := n + 2$   
until a prime number is found by MRPT.

The prime number theorem states:

$$|\{p \mid p \leq n, p \text{ prime}\}| \sim \frac{n}{\ln n}$$

Hence, the prob. that a randomly chosen  $m \leq n \in \mathbb{N}$   
is prime is  $\sim \frac{1}{\ln n}$ .

Ex:  $n = 2^{512}$ , select only odd integers:

$$\frac{2}{\ln 2^{512}} \approx \frac{1}{172.4}$$

## 6.2. The Integer Factorization Problem

"Easy": decide if  $n$  is comp. or prime.

"Hard": Find the prime factors.